

## IRA Direct Transfer/Rollover Request & Rollover Election Form

P. O. BOX 5354 ♦ Cincinnati OH 45201 ♦ (800) 421-4184 ♦ Fax (877) 430-6786 ♦ www.eagleasset.com

**INSTRUCTIONS:** Use this form to request a transfer or elect a rollover of assets to an Eagle IRA. Complete the Eagle IRA Application and Agreement and send it to us along with this form if you have not already established an Eagle IRA to receive these funds. We must have your original signature on the form in order to process the request. A fax or photocopy will not be accepted. **In addition, you must provide us with a copy of a recent statement for your current account.**

**I. Client Information**

Name of Account Holder \_\_\_\_\_ Social Security Number \_\_\_\_\_ Eagle Account Number (if already established) \_\_\_\_\_

**II. Transaction Information (check one)**

- 1. **Transfer Request:** This is available if you are transferring from a Traditional IRA to a Traditional IRA or a Roth IRA to a Roth IRA. I authorize and direct you, the current Custodian/Trustee listed in section III below, of my IRA to send as a transfer to JPMorgan Chase Bank, N.A., as successor Custodian, the assets indicated in section III below.  
**IRA Type being transferred: Traditional IRA  Roth IRA**
- 2. **Direct Rollover Election:** This is available if you are directly rolling over an eligible distribution from a qualified plan, 403(b) or government-sponsored 457 plan into a Traditional or Roth IRA. I have directed the Plan Custodian/Trustee, listed in section III below, to directly rollover to JPMorgan Chase Bank, N.A., my eligible qualified plan or tax-sheltered annuity distribution. I am providing a copy of the distribution form I completed to request this distribution from the Plan Sponsor.  
Please deposit the assets indicated in section V into my **Check one: Traditional IRA  Roth IRA**
- 3. **Regular Rollover Election:** I am depositing, within 60 days of receipt, an eligible rollover contribution. I have included a copy of the distribution form I completed to request this distribution.  
Please deposit the assets indicated in section V into my **Check one: Traditional IRA  Roth IRA**

**III. Sending Custodian/Trustee Information**

Name of Current Custodian/Trustee \_\_\_\_\_ Phone Number of Current Custodian/Trustee \_\_\_\_\_ Current Account # at Existing Custodian/Trustee \_\_\_\_\_

Address of Current Custodian/Trustee \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

**IV. Payment Information for Sending Custodian/Trustee (check all that apply)**

- 1.  Liquidate amount specified below and transfer proceeds OR  Transfer In Kind amount specified below
- 2.  Entire Account OR  Partial Amount (also complete breakdown below) \$ \_\_\_\_\_

Fund Name	Share Class	% OR \$	Fund Name	Share Class	% OR \$
Fund Name	Share Class	% OR \$	Fund Name	Share Class	% OR \$

3. Send  Immediately OR  At Maturity \_\_\_\_/\_\_\_\_/\_\_\_\_

Make check payable to **Eagle Family of Funds, FBO client name and account#.**

Mail the check to **PO Box 5354, Cincinnati, OH 45201.**

**V. Instructions for Investment**

- I am opening a new account and have attached an Eagle IRA Application and Agreement.
- Deposit the proceeds to my existing Eagle IRA or Roth IRA Account # \_\_\_\_\_ Allocate the funds as follows:

Fund Name	Share Class	% OR \$	Fund Name	Share Class	% OR \$

**V. Distribution Certificate/Signature**

I certify that I have/will establish a Traditional or Roth IRA with JPMorgan Chase Bank, N.A. as Custodian to receive assets being transferred or rolled over to my Traditional or Roth IRA, as appropriate. I understand that I am responsible for determining my eligibility for all transfers and rollovers, and I authorize the Custodian/Trustee to rely on the information submitted without further investigation or inquiry and agree to indemnify to hold the Custodian/Trustee harmless against any and all situations arising from an ineligible transfer or rollover. **I also certify that if I am at least age 70 1/2, I have met my Required Minimum Distribution obligation from my current account, and that no portion of my Required Minimum Distribution for this year is included in this transfer or rollover.** Further, I certify that I understand the tax and legal consequences associated with the requested transfer or rollover and that the information set forth above is true and correct.

\_\_\_\_\_  
Signature of Account Holder Date

An Eagle IRA has been established as indicated for this individual and JPMorgan Chase Bank, N.A., as Custodian, will accept the transfer or rollover of assets.

\_\_\_\_\_  
Eagle Family of Funds, Designated Agent Date