

SIMPLE IRA Transfer Request/Rollover Election

P. O. BOX 5354 ♦ Cincinnati OH 45201 ♦ (800) 421-4184 ♦ Fax (877) 430-6786 ♦ www.eagleasset.com

INSTRUCTIONS: Use this form to request a transfer or elect a rollover of assets to an Eagle SIMPLE IRA. Complete the Eagle SIMPLE IRA Application and send it to us along with this form if you have not already established an Eagle SIMPLE IRA to receive these funds. We will not accept a faxed version of this form as we must have your original signature on the form in order to process it. In addition, you must provide us with a copy of a recent statement for your current account. Questions should be directed to Eagle Fund Services at 800-421-4184.

For transfers, please call the current Custodian/Trustee to verify the correct mailing address and telephone number. Also, verify whether or not they have any special instructions or requirements, such as a signature guarantee, in order to accept this request. Once we accept your request, we will send this form to the current Custodian/Trustee, if applicable.

I. General Information

Name of Account Holder	Social Security Number	Eagle Account Number (if already established)	
Name of Current Custodian/Trustee	Phone Number of Current Custodian/Trustee	Current Account # at Existing Custodian/Trustee	
Address of Current Custodian/Trustee	City	State	Zip Code

II. Transaction Information (check one)

Original date of SIMPLE Plan Participation _____

Transfer Request: (Check here if transferring from a SIMPLE IRA) I authorize and direct you, the above-named current Custodian/Trustee of my SIMPLE IRA, to send as a transfer to JPMorgan Chase Bank, N.A., as successor Custodian, the assets indicated in section 3 below.

Regular Rollover Election: (Check here for a deposit which qualifies as a rollover contribution) I am depositing, within 60 days of receipt, an eligible rollover contribution. I am providing a copy of the distribution form I completed to request this distribution.

III. Payment Information (check all that apply)

1. **Liquidate** amount specified below and transfer proceeds OR **Transfer In Kind** amount specified below

2. Entire Account OR Partial Amount (also complete breakdown below) \$ _____

Fund Name	Share Class	% OR \$	Fund Name	Share Class	% OR \$
Fund Name	Share Class	% OR \$	Fund Name	Share Class	% OR \$

3. Send Immediately Or At Maturity ___/___/___

Make check payable to Eagle Family of Funds, FBO client name and account#. Mail the check to PO Box 33022, St. Petersburg, FL 33733.

IV. Instructions for Investment

I am opening a new account and have attached a Eagle SIMPLE IRA Application and Agreement.

Deposit the proceeds to my existing Eagle SIMPLE IRA Account # _____ Allocate the funds as follows:

Fund Name	Share Class	% OR \$	Fund Name	Share Class	% OR \$
Fund Name	Share Class	% OR \$	Fund Name	Share Class	% OR \$

V. Distribution Certificate/Signature

I certify that I have/will establish a SIMPLE IRA with JPMorgan Chase Bank, N.A. as Custodian to receive assets being transferred or rolled over to my SIMPLE IRA. I understand that I am responsible for determining my eligibility for all transfers and rollovers and I agree to indemnify to hold the Custodian/Trustee harmless against any and all situations arising from an ineligible transfer or rollover. **I also certify that if I am at least age 70 1/2, I have met my Required Minimum Distribution obligation from my current account, and that no portion of my Required Minimum Distribution for this year is included in this transfer or rollover.**

Signature of Account Holder Date

An Eagle IRA has been established for this individual and JPMorgan Chase Bank, N.A., as Custodian, will accept the transfer or rollover of assets.

Eagle Family of Funds, Designated Agent Date